

## Sample Solutions 02

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## 1 Rounding for bin packing

Let  $x_i = \frac{1}{2}$  for all  $i$ . Then, for any  $\epsilon > 0$ , packing items with sizes  $(1 + \epsilon)x_i = \frac{1}{2} + \frac{\epsilon}{2}$  takes  $n$  bins, versus the  $n/2$  of the original items:  $\alpha = 2$ . This shows that the same, simple rounding as in the FPTAS for knapsack (rounding item values to a power of  $(1 + \epsilon)$ ) cannot be used to achieve a PTAS, and a more complicated rounding approach is required.

## 2 Target shooting

1. Let  $X = \sum X_i$ . The standard Chernoff bound, selecting  $(1 + \epsilon)$  as the scaling parameter, gives

$$P(|X - \mathbb{E}[X]| \geq \epsilon \mathbb{E}[X]) \leq 2 \exp(-\epsilon^2 \mathbb{E}[X]/3) = 2 \exp(-\epsilon^2 \frac{|T|}{|S|} m/3)$$

then, selecting  $m$  as suggested gives a probability of  $O(\delta)$  of multiplicative error of  $(1 + \epsilon)$  or more.

2. A single sample is from  $T$  with probability  $\frac{|T|}{|S|}$ . Thus, as long as  $|T| \leq \frac{1}{2}|S|$ , the probability none of  $O(\frac{|S|}{|T|})$  samples is from  $T$  is

$$\left(1 - \frac{|T|}{|S|}\right)^{O(\frac{|S|}{|T|})} = \left(\left(1 - \frac{|T|}{|S|}\right)^{\frac{|S|}{|T|}}\right)^{O(1)} \geq 4^{-O(1)}$$

which is constant.

3. Call the algorithm  $O(\log 1/\delta)$  times, and return the median result. This only fails if more than half of the returned values are less than  $(1 - \epsilon)\text{OPT}$  or more than half are more than  $(1 + \epsilon)\text{OPT}$ . But the probability of landing outside the correct range is at most  $\frac{1}{3}$ , and that for correct range at least  $\frac{2}{3}$ . Thus, probability of failure with at least  $O(\log 1/\delta)$  calls is at most  $2^{-O(\log 1/\delta)} = O(\delta)$ , as desired.

## 3 Counting satisfying assignments

We can propose a target-shooting algorithm which we will show to be an FPRAS faster than the DFN-COUNT algorithm as described in the lecture notes. To begin, let  $F$  be a disjunction of  $m$  clauses  $C_i$ , where each  $C_i$  is a conjunction of up to  $n$  literals. Let  $f(F)$  be the number of satisfying assignments to  $F$ . By assumption, there exists an  $i \in [m]$  such that  $|C_i| = 10$ . It follows that  $f(F) \geq 2^{n-|C_i|} = 2^{n-10}$ .

For our target-shooting algorithm, will sample  $k$  assignments  $\alpha_j$  uniformly at random and count how many of these satisfy  $F$ . To show that this target-shooting algorithm is an FPRAS, we start by defining:

$$X_j = \begin{cases} 1 & F[\alpha_j] = 1, \\ 0 & \text{otherwise} \end{cases}.$$

Note that these are independent Bernoulli random variable with probability of success as follows:

$$\Pr[X_j = 1] = \frac{f(F)}{2^n} \geq \frac{2^{n-10}}{2^n} = \frac{1}{2^{10}},$$

by the previous observation of  $f(F) \geq 2^{n-10}$ . Define  $X = \sum_{j=1}^k X_j$ , then using linearity of expectations we can compute  $\mathbb{E}[X]$  as follows:

$$\mathbb{E}[X] = \mathbb{E} \left[ \sum_{j=1}^k X_j \right] = \sum_{j=1}^k \mathbb{E}[X_j] = k \frac{f(F)}{2^n} \geq \frac{k}{2^{10}}. \quad (1)$$

As  $f(F)$  is the quantity we would like to estimate, we can take the value of  $X$  and multiply it by  $\frac{2^n}{k}$  so that in expectation it is  $f(F)$ . We therefore need to show that it is also  $\epsilon$ -close with probability at least  $3/4$ . To achieve this, let us first consider the probability that  $f(F)$  is *not*  $\epsilon$ -close to  $\frac{2^n}{k}X$  in expectation:

$$\Pr \left[ \left| \frac{2^n}{k}X - f(F) \right| \geq \epsilon f(F) \right] = \Pr \left[ \left| X - k \frac{f(F)}{2^n} \right| \geq \epsilon k \frac{f(F)}{2^n} \right] = \Pr [|X - \mathbb{E}[X]| \geq \epsilon \mathbb{E}[X]].$$

As  $X$  is the sum of independent Bernoulli random variables, we can use the Chernoff bound to get an upper bound for the above probability:

$$\Pr [|X - \mathbb{E}[X]| \geq \epsilon \mathbb{E}[X]] \leq 2 \exp \left( -\frac{\epsilon^2 \mathbb{E}[X]}{3} \right) \leq 2 \exp \left( -\frac{\epsilon^2 k}{3 \cdot 2^{10}} \right),$$

where we notably use the lower bound for  $\mathbb{E}[X]$  in (1). In order for the proposed algorithm to be an FPRAS, we need to show that we can choose  $k \in \text{poly}(n, 1/\epsilon)$  such that the upper bound above is less than or equal to  $1/4$ . For this, observe the following:

$$2 \exp \left( -\frac{\epsilon^2 k}{3 \cdot 2^{10}} \right) \leq \frac{1}{4} \iff k \geq 9 \cdot 2^{10} \ln 2 \cdot \frac{1}{\epsilon^2}.$$

Thus, by setting  $k = O(\epsilon^{-2})$ , we get:

$$\Pr [|X - \mathbb{E}[X]| \geq \epsilon \mathbb{E}[X]] \leq \frac{1}{4} \iff \Pr \left[ \left| \frac{2^n}{k}X - f(F) \right| \leq \epsilon f(F) \right] \geq \frac{3}{4}.$$

What remains to be shown is to analyze the runtime of this algorithm. Observe that we can sample an assignment  $\alpha_j$  in  $\mathcal{O}(n)$  and check whether it satisfies  $F$  in  $\mathcal{O}(m)$ , which gives a complexity of  $\mathcal{O}(nm)$  for each of the  $k \in \text{poly}(n, 1/\epsilon)$  assignments. Overall, this gives  $\mathcal{O}(nm/\epsilon^2)$ , thus showing that this target-shooting algorithm is indeed an FPRAS. Moreover, comparing this with DNF-COUNT as given in the lecture notes, this algorithm is indeed faster, as desired.