Priority algorithms — part 1: exact algorithms

Joan Boyar¹, Kim S. Larsen¹, and *Denis Pankratov*²

¹ University of Southern Denmark ² Concordia University

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What is a Greedy Algorithm?

- CLRS: "A *greedy algorithm* always makes the choice that looks best at the moment. That is, it makes a locally optimal choice in the hope that this choice will lead to a globally optimal solution."
- Pros: conceptually simple, easy to design, describe and implement, fast running times.
- Cons: don't always work well.
- To study power and limitations of greedy algorithms we need a formal model.
- Surprise: there is no universally agreed upon model!

Models of Greedy Algorithms

- Matroid Whitney (1935), Edmonds (1971)
- Greedoid Korte and Lovasz (1981)
- Online Algorithms/Competitive Analysis Sleator and Tarjan (1985), Graham (1966), ...
 - "In a somewhat vacuous sense, all online algorithms are greedy, since an online algorithm is defined by a function f of the current request, the current state, and the history." – Karlin and Irani
- **Priority Algorithms** Borodin, Nielsen, Rackoff (2002)
 - Online algorithms on steroids

Priority Algorithms

- Many greedy algorithms have the structure:
 - Sort input items in some way
 - Run some online algorithm on the sorted input
 - E.g.: Kruskal's algorithm for MST
 - Sometimes the algorithm resorts remaining input items in between online decisions, e.g., Prim's algorithm for MST
- Modelling obstacle:
 - Many problems admit an input order for which trivial online algorithm is optimal (e.g., matching)
 - How do we restrict sorting functions?

Priority Algorithms

- Input is a collection $I = \langle x_1, \dots, x_n \rangle$ of items from the universe U
- Overcoming modelling obstacle:
 - Sorting function is not allowed to depend on *I*
 - Sorting function has to order the entire universe \boldsymbol{U}
 - Often specified by a priority function $P : U \rightarrow \mathbb{R}$

Priority Algorithms

Fixed Priority Algorithm:

Specify $P: U \to \mathbb{R}$

While *I* is not empty

 $x \leftarrow argmax_{x \in I} \{P(x)\}$ make an irrevocable decision on x $I \leftarrow I \setminus \{x\}$

Adaptive Priority Algorithm: Specify initial $P : U \to \mathbb{R}$ While I is not empty $x \leftarrow argmax_{x \in I} \{P(x)\}$ make an irrevocable decision on x $I \leftarrow I \setminus \{x\}$ Update $P : U \to \mathbb{R}$

MST Example

- $U = \mathbb{N} \times \mathbb{N} \times (\mathbb{R} \cup \{-\infty, +\infty\})$
- Item (*u*, *v*, *w*): *u*, *v* endpoints of an edge; *w* weight of the edge
- Kruskal's algorithm:
 - fixed priority P(u, v, w) = -w, i.e., negative projection on the third coordinate
 - decision: accept the item if and only if it does not create a cycle
- Prim's algorithm:
 - Adaptive priority: let *S* be the set of encountered vertices so far
 - P(u, v, w) = -w if u or v is in S and $-\infty$ otherwise

Properties of the model

- Information-theoretic
 - Similar to online algorithms
 - Makes lower bounds very strong
 - Upper bounds typically translate to polynomial time algorithms
- Does not capture all greedy algorithms
- In particular, greedy algorithms could precompute some functions providing useful side-information for the online phase
 - This could be modeled with advice

Adding Advice to Fixed Priority



Adding Advice to Adaptive Priority

I is the input $P_0: U \to \mathbb{R}$ is the initial priority function $i \leftarrow 1$ While $I \neq \emptyset$ $x_i \leftarrow argmax_{x \in I} \{P_{i-1}(x)\}$ read zero or more bits of advice from the tape $s_i \leftarrow$ known contents of the advice tape $d_i \leftarrow \text{decision of } ALG \text{ on } x_i$ $P_i \leftarrow$ updated priority function $I \leftarrow I \setminus \{x_i\}$ $i \leftarrow i + 1$

Model 1: $P_i \coloneqq P_i(x_1, \dots, x_i, s_i)$

Model 2: $P_i \coloneqq P_i(x_1, \dots, x_i, d_1, \dots, d_i)$

Rest of the Talk

- G = (V, E) simple undirected graph;
- |V| = n, |E| = m
- $S \subseteq V$ is a vertex cover if $\{u, v\} \in E$ implies either $u \in S$ or $v \in S$
- Input items $(v, N(v)) \in \mathbb{N} \times \bigcup_{k \in \mathbb{N}} {\mathbb{N} \choose k}$

Theorem. There is an adaptive priority algorithm, RejectFirst, that solves the Minimum Vertex Cover (VC) problem with at most $\left(\frac{7}{22}\right)n \approx 0.3182 n$ bits of advice in Model 2 on triangle free graphs with maximum degree at most 3.

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- Exact algorithm, so also solves MIS (Maximum Independent Set)
- Graph class might seem restrictive, but MIS/VC for this class or related classes has rich history:
 - Brooks (1941), Staton (1979), Jones (1990), Heckman and Thomas (2001), Harant et al (2008), Kanj and Zhang (2010), ...
- No adaptive priority algorithm without advice can achieve approximation ratio better than 4/3. (Borodin et al. 2010).
- No online algorithm with fewer than $\frac{n}{3} c$ bits of advice can achieve optimality. (this work, not presented).

RejectFirst Intuition

• Suppose vertices are revealed in order

 $v_1, v_2, \ldots, v_n.$

• Current neighborhood of v_i is

 $N(v_i) \setminus \{v_1, \dots, v_{i-1}\}.$

- The size of the current neighborhood of v_i is its current degree, $cdeg(v_i)$.
- An advice bit: accept v_i into a VC or not.
- Key idea: often we can infer whether to accept or reject v_i without advice.
- Specify priority functions so that we maximize the number of vertices that do not require advice.

- If $cdeg(v_i) = 0$ then v_i can be rejected.
- If $cdeg(v_i) = 1$ then v_i can be rejected and its unique neighbor accepted.



 $cdeg(v_1) = 1$ Has highest priority

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Reject without advice

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- Suppose that $cdeg(v_i) = 2$ for all remaining vertices.
- This implies that the remaining graph is a set of vertex disjoint cycles.
- Can be processed without advice:



• Giving cdeg = 2 lowest priority allows us to detect this case.

- Therefore, we can handle cdeg = 0, 1, and 2 vertices without advice.
- Need more tricks to avoid giving advice to many cdeg = 3 vertices.
- IDEA: **cooperation** between the oracle and the algorithm. **INTUITION** (actual details more involved)
- Suppose $cde_q(v_i) = 3$ and v_i requires advice
- Moreover, suppose there is a minimum VC which includes v_i and there is another minimum VC which excludes v_i
- ORACLE prefers to give advice to REJECT $\boldsymbol{\nu}_i$

Implies that at most one neighbor of v_i with accept advice is accepted



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Received advice to be accepted,



(*) At most 1 of u_1, u_2, u_3 can be accepted in the future

Use this to avoid giving advice to other degree 3 vertices If there exists v such that cdeg(v) = 3 and $|N(v) \cap \{u_1, u_2, u_3\}| \ge 2$ then accept vWhy? Property (*) guarantees v has a rejected neighbor

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RejectFirst Priority Function

Define the priority function P as follows (listed in order from highest to lowest priorities): P1: nodes with a rejected neighbor;

highest priority is given to those nodes whose neighbor was most recently rejected.

- P2: nodes with current degree 0.
- P3: nodes with current degree 1;
 - highest priority is given to those nodes with a most recently processed neighbor; among those, highest priority is given to those nodes that had two neighbors that became aa-vertices.
- P4: nodes with current degree 2 that had a third neighbor in common with a previously rejected bad-vertex.
- P5: a-siblings.
- P6: nodes with current degree 3 with 2 or 3 neighbors in common with a single aa-vertex that was not a bad-vertex when it received advice.
- P7: nodes with current degree 3 that share neighbors with a-vertices.
- P8: other nodes with current degree 3.
- P9: nodes with current degree 2

RejectFirst Main Loop

procedureREJECTFIRSTwhile there exist unprocessed vertices doReceive the next vertex v according to Pswitch priority of vcase P1 or P6:Accept vcase P2, P3, P4, P5, or P9:Reject vcase P7 or P8:Obtain advice to accept or reject and apply it to v

Key Notion in the Analysis

- Interactions of cdeg = 3 through common neighbors have effect on advice complexity.
- Component: captures related sequences of *cdeg* = 3 vertices with shared neighbors during runtime.













More notation

- For component $i \in [c]$
 - s_i total number of vertices in component i
 - a_i number of vertices that received advice in component i

$$n = \sum_{i=1}^{c} s_i$$
 and $a := \sum_{i=1}^{c} a_i$

• Lemma 1

$$s_i \ge 3 a_i + 1$$

• Lemma 2

$$10 a - 4 c \le 3 n$$

Proofs: elaborate charging arguments: vertex-based for Lemma 1 and edge-based for Lemma 2.

Proof of the Main Result Follows

• Total number of advice bits is *a*

 $3 a + c \le n$ (Lemma 1) $10a - 4 c \le 3n$ (Lemma 2)

 $4 \times$ first inequality + $1 \times$ second inequality implies

$$22 a \le 7 n, \text{ i.e.,}$$
$$a \le \frac{7}{22}n$$

QED.

Exact Algorithms

- Adaptive priority algorithm \Rightarrow exact offline algorithm
- Enumerate all possible advice strings and run the algorithm
- Runtime is bounded by $2^{\left(\frac{7}{22}\right)n} poly(n) = O(1.2468^n)$
- Potentially could be improved by a more careful measure and conquer analysis technique
 - Generate advice recursively one bit at a time
 - Not all branches have equal depth
 - Keep track of its branch depths carefully

