## Functional Programming: Exercise Session 2

- Sheet 2: Main focus on logic, some Haskell programming
- Next week: Lecture and exercises will shift to Haskell
- This exercise session:
  - Some comments on sheet 1: Evaluation, elimination rules

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- Semantics of logics
- Soundness of rules
- ▶ Practice for sheet 2: Rules for  $\forall$  and  $\exists$

## **Evaluation**

- Evaluation order will be discussed in more detail later, but useful to already have a general idea of it.
- Haskell has a lazy evaluation strategy. It first evaluates the leftmost and outermost reducible expression.
- Outermost = (if possible first try to) evaluate the function, then evaluate the arguments. When do you need to evaluate the arguments first?
- Lazy = only arguments which are required are necessary

$$fst ((0+1) + (1+1), 1+2+3+4+5+6) \\ \hookrightarrow (0+1) + (1+1) \\ \hookrightarrow 1 + (1+1) \hookrightarrow 1 + 2 \hookrightarrow 3$$

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 However, lazy/eager not really important for the Fibonacci example

#### Evaluation

fibLouis 0 = 0 -- fibLouis.1
fibLouis 1 = 1 -- fibLouis.2
fibLouis n = fibLouis (n-1) + fibLouis (n-2) -- fibLouis.3

```
fibLouis 4 =
(fibLouis (4-1) + fibLouis (4-2)) =
                                                                        - fibLouis.3
(fibLouis 3 + fibLouis (4-2)) =
                                                                         - arith
((fibLouis (3-1) + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                       - fibLouis.3
((fibLouis 2 + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                        - arith
(((fibLouis (2-1) + fibLouis (2-2)) + fibLouis (3-2)) + fibLouis (4-2)) = - fibLouis.3
(((fibLouis 1 + fibLouis (2-2)) + fibLouis (3-2)) + fibLouis (4-2)) = - arith
(((1 + fibLouis (2-2)) + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                        - fibLouis.2
(((1 + fibLouis 0) + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                       - arith
(((1 + 0) + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                        - fibLouis.1
((1 + fibLouis (3-2)) + fibLouis (4-2)) =
                                                                         - arith
((1 + fibLouis 1) + fibLouis (4-2)) =
                                                                         - arith
((1 + 1) + \text{fibLouis} (4-2)) =
                                                                         - fibLouis.1
(2 + fibLouis (4-2)) =
                                                                         - arith
(2 + fibLouis 2) =
                                                                         - arith
(2 + (fibLouis (2-1) + fibLouis (2-2))) =
                                                                        - fibLouis.3
(2 + (fibLouis 1 + fibLouis (2-2))) =
                                                                         - arith
(2 + (1 + fibLouis (2-2))) =
                                                                         - fibLouis.2
(2 + (1 + fibLouis 0)) =
                                                                         - arith
(2 + (1 + 0)) =
                                                                         - fibLouis.1
(2 + 1) =
                                                                         - arith
3
                                                                         - arith
```

#### How to find ND proofs?

- Strategy rather than an exact algorithm (problem can be undecidable, depending on the logic)
- Backwards: Apply introduction rules until the required sequents can be derived by elimination rules.
- Forward: Find out how elimination rules can be applied to assumptions to derive required sequents.
- Backwards: Write down the previously found derivations.
- The forward "planning step" is required since you might have to guess which rule to apply and how to instantiate the premises:

$$\frac{\Gamma \vdash A \land B}{\Gamma \vdash A} \land \mathsf{EL} \qquad \qquad \frac{\Gamma \vdash A \land B}{\Gamma \vdash B} \land \mathsf{EF}$$

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Note that → -E might require switching back to applying introduction rules for the second premise.

# Blackboard: Assignment 4 (b) (i)

# Why should proofs follow such a rigid structure?

- More comfortable rules can be derived!
- Machine checkable proofs and proof search:
- Xavier Leroy: Formal verification of a realistic compiler http://pauillac.inria.fr/~xleroy/bibrefs/ Leroy-Compcert-CACM.html
- Formal, machine-checked verification of the seL4 microkernel:
  - http://www.drdobbs.com/embedded/222400553?pgno=2
  - http://www.ok-labs.com/whitepapers/sample/ sel4-formal-verification-of-an-os-kernel
- Other formal methods:
  - http://cacm.acm.org/magazines/2010/2/ 69367-type-theory-comes-of-age/fulltext
  - http://cacm.acm.org/magazines/2010/2/ 69362-software-model-checking-takes-off/fulltext

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## Semantics of Logics

- We want to assign meaning (semantics) to logical formulae
- Typically, one does this by defining a satisfaction relation

#### $S \models F$

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which describes when a situation S satisfies a formula F

 Details vary from logic to logic: what is the satisfaction relation, what constitutes a formula, and what constitutes a situation

## Semantics of Propositional Logic

- In propositional logic, situations are the so-called *valuations*, functions assigning a value *p<sub>M</sub>* ∈ {⊥, ⊤} to each variable *p*. The satisfaction relation is then defined as follows:
  - $M \models p$ , if  $p_M$
  - $M \models A \land B$ , if  $M \models A$  and  $M \models B$
  - $M \models A \lor B$ , if  $M \models A$  or  $M \models B$
  - $M \models A \rightarrow B$ , if whenever  $M \models A$  then  $M \models B$
- ► A situation *M* which satisfies the formula *F* is called a *model* for *F*.

• Exercise: find one valuation which is, and one which isn't a model for the formula  $p \land q \rightarrow r$ 

#### Semantics of Predicate Logic

- A structure is a pair S = ⟨U<sub>S</sub>, I<sub>S</sub>⟩ where U<sub>S</sub> is an nonempty set, the universe, and I<sub>S</sub> is a mapping from predicate and function symbols of the given logic to predicates and functions over U<sub>S</sub>
- An interpretation is a pair J = ⟨S, v⟩, where S = ⟨U<sub>S</sub>, I<sub>S</sub>⟩ is a structure and v : V → U<sub>S</sub> a valuation.
- $\mathcal{I} \models \phi$  is defined as the smallest relation between interpretations and formulas such that

$$\begin{array}{ll} \langle \mathbb{S}, \mathbf{v} \rangle \models p(t_1, \ldots, t_n) & \text{if} \quad \left( \mathbb{J}(t_1), \ldots, \mathbb{J}(t_n) \right) \in p^{\mathbb{S}}, \, \text{where} \, \mathbb{J} = \langle \mathbb{S}, \mathbf{v} \rangle \\ & \vdots \\ & \langle \mathbb{S}, \mathbf{v} \rangle \models \forall \mathbf{x}. \, \mathbf{A} \quad \text{if} \quad \langle \mathbb{S}, \mathbf{v} [\mathbf{x} \mapsto \mathbf{a}] \rangle \models \mathbf{A}, \, \text{for all} \, \mathbf{a} \in U_{\mathbb{S}} \\ & \langle \mathbb{S}, \mathbf{v} \rangle \models \exists \mathbf{x}. \, \mathbf{A} \quad \text{if} \quad \langle \mathbb{S}, \mathbf{v} [\mathbf{x} \mapsto \mathbf{a}] \rangle \models \mathbf{A}, \, \text{for some} \, \mathbf{a} \in U_{\mathbb{S}} \end{array}$$

## Semantics and Syntax

- Semantic entailment: φ<sub>1</sub>,..., φ<sub>k</sub> ⊨ φ if for all situations A, if A ⊨ φ<sub>1</sub>,..., A ⊨ φ<sub>k</sub> then A ⊨ φ
- Syntactic entailment is what we have seen so far: Γ ⊢ φ if we can "derive" φ from Γ
- We'd like the two to be in sync
- Soundness of logic: If  $\phi_1, \ldots, \phi_k \vdash \phi$  derivable, then  $\phi_1, \ldots, \phi_k \models \phi$
- Completeness of logic: If φ<sub>1</sub>,..., φ<sub>k</sub> ⊨ φ, then φ<sub>1</sub>,..., φ<sub>k</sub> ⊢ φ derivable

#### Soundness of Rules

A rule

$$\frac{\Gamma_1 \vdash \phi_1 \quad \dots \quad \Gamma_k \vdash \phi_k}{\Gamma \vdash \phi}$$

is sound if for all  $\Gamma_i$ ,  $\phi_i$ ,  $\Gamma$ , and  $\phi$ 

$$\Gamma_1 \models \phi_1, \ldots, \Gamma_k \models \phi_k$$

implies

$$\mathsf{\Gamma} \models \phi$$

Example: We show that

$$\frac{\Gamma \vdash \phi}{\Gamma \vdash \phi \lor \psi} \lor \mathsf{-IL}$$

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is sound as follows:

Let  $\Gamma$ ,  $\phi$ , and  $\psi$  be arbitrary and assume that  $\Gamma \models \phi$ . Then show  $\Gamma \models \phi \lor \psi$ .

#### Soundness of V-IL

$$\frac{\Gamma \vdash \phi}{\Gamma \vdash \phi \lor \psi} \lor \mathsf{-IL}$$

- 1 Let  $\phi$ ,  $\psi$ , and  $\Gamma = G_1, \dots, G_k$  be arbitrary and assume that  $\Gamma \models \phi$ . *(unfold definition of semantic entailment)*
- 2 Then for all structures  $\mathcal{A}$ , if  $\mathcal{A} \models G_i$  for  $1 \le i \le k$  then  $\mathcal{A} \models \phi$ .  $(\mathcal{A} \models \phi \lor \psi \text{ if } \mathcal{A} \models \phi \text{ or } \mathcal{A} \models \psi \text{ by definition of } \models)$
- 3 Then for all structures  $\mathcal{A}$ , if  $\mathcal{A} \models G_i$  for  $1 \le i \le k$  then  $\mathcal{A} \models \phi \lor \psi$ . *(fold definition of semantic entailment)*

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4 Then  $\Gamma \models \phi \lor \psi$ .

#### Notes: Predicate Logic on Sheet 2

- You have to pay attention to the scope of variables and the side conditions.
- ▶ Syntax:  $\forall$  and  $\exists$  extend as far to the right as possible.  $A \land \forall x. P(x) \rightarrow Q(x) \rightarrow B(x) = A \land (\forall x. P(x) \rightarrow (Q(x) \rightarrow B(x)))$

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 $\blacktriangleright \forall x_1 x_2 \dots x_n. P \text{ shortcut for } \forall x_1. \forall x_2. \dots \forall x_n. P$ 

## Renaming of Bound Variables in Formulas

- Formulas modulo renaming of bound variables:  $\forall x. P(x) \equiv \forall y. P(y)$
- Renaming has to be capture avoiding:

 $\forall x. Q(x,z) \neq \forall z. Q(z,z)$ 

The second argument of Q was free before, then bound by  $\forall$ .

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#### Side Conditions of $\forall$ -I and $\exists$ -E

$$\frac{ \exists x. P(x) \vdash \exists x. P(x) \xrightarrow{AX} \exists x. P(x), P(x) \vdash P(x) \\ \exists x. P(x) \vdash P(x) \\ \exists x. P(x) \vdash \forall x. P(x) \\ \vdash (\exists x. P(x)) \rightarrow \forall x. P(x) \xrightarrow{AX} \exists -E^{**} \\ \forall -I^{*} \\ \rightarrow -I$$

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Where is the error?

(\*) x not free in any assumptions in  $\Gamma$ , (\*\*) x not free in B or  $\Gamma$ .

#### Side Conditions of $\forall$ -I and $\exists$ -E

$$\frac{ \exists x. P(x) \vdash \exists x. P(x) \xrightarrow{AX} \exists x. P(x), P(x) \vdash P(x) \\ \exists x. P(x) \vdash P(x) \\ \exists x. P(x) \vdash \forall x. P(x) \\ \vdash (\exists x. P(x)) \rightarrow \forall x. P(x) \xrightarrow{AX} \exists -E^{**} \\ \forall -I^{*} \\ \rightarrow -I$$

Where is the error?

(\*) x not free in any assumptions in Γ, (\*\*) x not free in B or Γ.
(\*\*) violated, renaming required.

$$\frac{\overline{\exists y. P(y) \vdash \exists y. P(y)}^{AX} \exists y. P(y), P(y) \vdash P(x)}{\exists y. P(y) \vdash P(x)} \exists -E^{**} \\
\underline{\exists x. P(y) \vdash P(x)}^{BX. P(x) \vdash P(x)} \forall -I^{*} \\
\underline{\exists x. P(x) \vdash \forall x. P(x)}_{\vdash (\exists x. P(x)) \rightarrow \forall x. P(x)} \rightarrow -I$$

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Note that formula is *unproven*, as it is actually *false*!

## Exercises I

1 
$$\forall x. \perp \rightarrow P(x)$$
  
2  $\forall x. P(x), P(t) \rightarrow Q \vdash Q$ 

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## **Exercises II**

3 
$$(\forall x \ y. P(x, y)) \rightarrow (\forall b \ a. P(a, b))$$
  
4  $\exists x. P(x) \vdash \neg(\forall x. \neg P(x))$   
5  $\forall x \ y \ z. P(x, y) \land P(y, z) \rightarrow P(x, z), P(a, b), P(b, c) \vdash P(a, c)$   
6  $\neg(\forall x. \neg P(x)) \vdash \exists x. P(x) \text{ using TND}$ 

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